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# 1/ 13

DEC 28 2005

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To:	Art Unit:: 2826	Froms	Leslie S. Szivos	
Fax:	571-273-8300	Pages:	13 pages including	fax cover
Phone	93	Date:	12/28/2005	
Re:	U.S. Patent Appln. No. 10/648,884	CC:		
NG.	Docket: YOR920030112US2 (16755)	00.		
	Confirmation No. 4881			
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#### PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

- 1. Transmittal of Information Disclosure Statement (in duplicate)
- 2. Information Disclosure Statement (w/Form PTO-1449)
- 3. Copy of United States Search Report
- 4. Certificate of transmission by facsimile dated December 28, 2005
- 5. Authorization to charge IBM deposit account

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If you have any questions concerning this facsimile please contact Vivian Henriquez at 516-742-4343. Thank you

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# 2/ 13

TRA	NSMITTA		cket No. 0030112US2							
In Re Application Of: Jeffrey C. Hedrick, et al.										
Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation										
10/	648,884	2826	4881							
Title:	Title: MULTILAYERED CAP BARRIER IN MICROELECTRONIC INTERCONNECT STRUCTURES									
			Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14							
			37 CFR 1.97(b)							
1.	of a nation three mon- application	nal application other ths of the date of en ; before the mailing	atement submitted herewith is to than a continued prosecution atry of the national stage as set of a first Office Action on the m est for continued examination un	application und forth in 37 CF erits, or before	der 37 CFR 1.53 R 1.491 in an in the mailing of a	3(d); within ternational				
			37 CFR 1.97(c)							
2. 🔀	CFR 1.97( Final Action	b), provided that the on under 37 CFR 1	atement submitted herewith is less information Disclosure States 1.113, a Notice of Allowance in the application, and is accomp	ment is filed be under 37 CFR	efore the mailing 1.311, or an $\lambda$	date of a				
	⊠ the s	statement specified i	n 37 CFR 1.97(e);							
			OR							
	□ the fee set forth in 37 CFR 1.17(p).									

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						Docket No. YOR920030112US2			
in Re Application of: Jeffrey C. Hedrick, et al.									
Application No. Filing Date Examiner Customer No. Group Art Unit Confirms									
10/648,884	August 27, 2003	2826	4881						
Title: MULTILA	YERED CAP BARRI		ent of Fee	NTERCONNE	CT STRUCTURI	ES			
☐ A check in		nplete if Applicant elect is attacl	ts to pay the t	iee set forth in 37	CFR 1.17(p))	·			
The Director as describe	or is hereby authorize			Account No. 5	50-0510/IBM	<del> </del>			
	edit any overpayment arge any additional fo								
☐ Payment by WARNING included o	credit card. Form P Information on thin this form. Provide	TO-2038 is attached s form may become credit card inform	e public. C nation and	authorization	on PTO-2038.				
Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax 571-273-8300)  December 28, 2005  (Date)  Certificate of Mailing by First Class Mail  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an evelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 137 CFR 1.8(a)) on (Date)  Signature  Signature of Person Mailing Correspondence									
Leslie S. Szivos		mine Certificate	Tvo	ved or Printed Name	of Person Mallio Co	ertificate			
*This certificate may only be used if paying by deposit account.  Dated: December 28, 2005  Signature  Leslie S. Szivos, Ph.D.  Registration No. 39,394  SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City, New York 11530									
cc:									

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TRANSMITTA	L OF INFORMA (Under 37 CFI		cket No. 0030112US2						
In Re Application Of: Jeffrey C. Hedrick, et al.									
Application No.	Group Art Unit	Confirmation No.							
10/648,884	2826	4881							
Title: MULTILAY	YERED CAP BARRI	ER IN MICROELECTRONIC	INTERCONNE	CT STRUCTUR	ES				
		Address to: Commissioner for Pater P.O. Box 1450 Alexandria, VA 22313-1							
		37 CFR 1.97(b)							
of a natior three mon application	nal application other ths of the date of er before the mailing	atement submitted herewith Is than a continued prosecution of the national stage as set of a first Office Action on the nest for continued examination units of the set for continued examination uni	application und t forth in 37 CF nerits, or before	der 37 CFR 1.5 R 1.491 in an ir the mailing of a	3(d); within itemational				
		37 CFR 1.97(c)							
CFR 1.97( Final Action	(b), provided that the on under 37 CFR 1	atement submitted herewith is e Information Disclosure State 1.113, a Notice of Allowance n the application, and is accom	ement is filed be under 37 CFF	efore the mailing R 1.311, or an	g date of a				
⊠ the	statement specified	in 37 CFR 1.97(e);							
		OR							
□ the	fee set forth in 37 Cl	FR 1.17(p).							

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						Docket No. YOR920030112US2				
In Re Application of: Jeffrey C. Hedrick, et al.										
Application No. Filing Date Examiner Customer No. Group Art Unit Co										
10/648,884	August 27, 2003	Leonardo And	ujar	23389	2826	4881				
Title: MULTILA	YERED CAP BARRI	Payme	ent of Fee			ES				
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  ☐ A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below. ☐ Charge the amount of ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. ☐ Certificate of Transmission by FacsImile* ☐ Certificate of Mailing by First Class Mail ☐ I hereby certify that this correspondence is being deposited ☐ I hereby certify that this correspondence is being deposited										
Patent and Trad  December 28, 2  (Date)  Leslie S. Szivos	Signature s, Ph.D.	3-8300)	*Commis: 22313-14	(Date) Signature of Per	rson Mailing Couresp	ondence				
<u>                                   </u>	Printed Name of Person Signate may only be used unt.		<u> </u>	<i>ped or Printed Name</i> December 28, 2	of Person Mailing C	ertificate				
Leslie S. Szivos, Ph. Registration No. 39 SCULLY, SCOTT, 400 Garden City Pl Garden City, New Y	D. ,394 MURPHY & PRESS aza - Suite 300	ER				DIA PONT				

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DEC 2 8 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jeffrey C. Hedrick, et al. Examiner: Leonardo Andujar

Serial No: 10/648,884 Art Unit: 2826

Filed: August 27, 2003 Docket: YOR920030112US2 (16755)

For: MULTILAYERED CAP BARRIER Dated: December 28, 2005

IN MICROELECTRONIC

INTERCONNECT STRUCTURES

Confirmation No: 4881

Mailstop Amendment Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. United States Patent No. 6,265,779, dated July 24, 2001, issued to Grill et al.;
- 2. United States Patent Application Publication No. 2002/0130417 A1, dated September 19, 2002, issued to Yew et al.; and

#### **CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this document is being filed in the United States Patent and Trademark Office on the date shown below via facsimile transmission to Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 at United States Patent and Trademark Office facsimile transmission number (571) 273-8300.

Dated: December 28, 2005

Leslie S. Szivos, Ph.D.

3. United States Patent Application Publication No. 2003/0089988, dated May 15, 2003, issued to Matsuura.

The references were cited in a Search Report dated November 28, 2005 received from the United States Patent Office in connection with the corresponding PCT application.

Applicants are submitting a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Since a first Office Action on the merits has issued, applicants hereby state

"That each item of information contained herein was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement."

Respectfully submitted,

Lestie S. Szivos, Ph.D. Registration No. 39,394

Scully, Scott, Murphy & Presser 400 Garden City Plaza - Ste. 300 Garden City, New York 11530 LSS:vh

:5 BEC 2 9 2005

# 8/ 13

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					Docket Number (Optional)   Application Number				
		(Use several sheets if necess	ary)		Filing Date	et au.	roup Art Unit		
					August 27, 2003			826	
			Ų.	S. PATENT	DOCUMENTS				
*EXAMINER INITIAL	R REF DOCUMENT NUMBER		DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,265,779	7/24/2001	Grill et	al.				
			U.S. PATEN	T APPLIC	ATION PUBLICATIONS				
*EXAMINER INITIAL	REF DOCUMENT NUMBER		DATE	NAMB		CLASS	SUBCLASS FILING		
		2002/0130417 A1	9/19/2002	Yew et	al.				
		2003/0089988	5/15/2003	Matsuu	ıra				
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			FORE	GON PATE	INT DOCUMENTS				
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	REF	DOCUMENT NUMBER	DATE		COUNTRY CL		CLASS SUBCLASS	YES NO	
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EXAMINE not conside	R: Init	ial if citation considered, whethe sclude copy of this form with ne	r or not citation is i t communication to	n conforma applicant.	nce with MPEP Section 605	; Draw line throu	gh citation if no	t in conforn	nance and

Form PTO-A820 (also form PTO-1449) P09A/REV06

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